# Impact Analysis Report / RFC-Proposal

**Section 1: Meta-data**

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| **RFC ID** | **RFC\_NCTS\_0205** (JIRA:UCCNCTS-2432) |
| **Related Incident ID** | **IM517676 / PM24268 / KE22289** |
| **RFC Initiator / Organization** | **NA-BE & DG TAXUD** |
| **CI** | **NCTS-P5 (DDNTA-5.15.0-v1.00 – Main Document)** |
| **Type of Change** | **Standard**  **Emergency** |
| **Nature of Change** | Justification for Evolutive   |  | | --- | |  | |
| **RFC Source** | |  |  | | --- | --- | | **Legal & Policy Change**  **Organisational Changes** | **Business Change**  **IT Change** | |
| **Review by Business User recommended?** | **Yes  No** |

***Change Summary***

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| NCTS-P5 (DDNTA-5.15.0-v1.00 – Main Document & Appendices): Correction of typos. |
| Various (minor) corrections applied with track changes to remove obvious issues, clarifying and facilitating the reading. |

**Section 2: Problem statement**

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| Correction of various obvious typos or similar mistakes. See Section 3 for the details. |

**Section 3: Description of proposed solution**

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| The **DDNTA-5.15.0-v1.00** Main Document shall be corrected as follows: (addition of **text highlighted in yellow**).   1. **Page 122: 🡺 IM517676 / PM24268 / KE22289 / JIRA:UCCNCTS-2432**   In scenario T-TRA-TRT-A-006 in step 15, the IE006 is received from the OoDes (Actual) by the OoDep but it is mentioned that the state at the OoDep remains *Movement released*. It must be corrected to *Arrived*.     1. **Page 385: 🡺 IM517778 / PM24306 / KE22320 / JIRA:UCCNCTS-2433**   In section **III.VI.1** **Business Timers**, the stop event of the timer "*Time to Enquire Holder on non-arrived movement (T\_Notify\_IE140)*" should be updated so as the timer stops also when the IE006 or IE018 is received by the Office of Departure.     1. **Page 235: 🡺 IM519668 / PM24338 / KE22346 / JIRA:UCCNCTS-2444**   In section ***III.II.7.4.3 T-TRA-EFT-A-012-Manual closure at Departure based on alternative proof - Export is previous procedure***, the IE042 ‘Control Result’ can’t be empty as it is a mandatory field. It should read B2 in both cases.  *[Step 24] The Office of Departure sends the ‘Destination Control Results to AES’ N\_DES\_CON (IE042) message to the Office of Exit with control result code ‘A1’ per Export MRN if it is considered ‘appropriate’ or no control result code (i.e. left empty) per Export MRN if it is considered ‘inappropriate’.*  *[Step 25] Upon reception of the ‘Destination Control Results to AES’ N\_DES\_CON (IE042), the Office of Exit prepares and sends the ‘Exit Results’ C\_EXT\_RES (IE518) message per Export MRN to the Office Export containing the same exit control result code ‘A1’ if such code has been included into the ‘Destination Control Results to AES’ N\_DES\_CON (IE042) by the Office of Departure (i.e. due to appropriate Office of Destination) or with exit control result code ‘B2’ per Export MRN if no control result code has been included into the ‘Destination Control Results to AES’ N\_DES\_CON (IE042) by the Office of Departure (i.e. due to inappropriate Office of Destination).*     1. **Page 78 & 81: 🡺 IM518663 / PM24400 / KE22403 / JIRA:UCCNCTS-2496.**   The following paragraph from the Core Flow Scenario:  *"The Customs Officer at Departure accepts all the guarantee types ‘3’, ‘5’, ‘8’, ‘A’, ‘B’ or ‘J’ that are related with the given MRN (see also Section III.III.1.4 – Guarantee Types). Additionally, if the movement contains guarantee types 0, 1, 2, 4 or 9 for the given movement (MRN), the Customs Officer accepts the reference amount*13 *to be used for that transit movement".*  shall be added at the end of the business scenarios T-TRA-DEP-M-006 & T-TRA-DEP-A-007, before the sentence: "The business flow of the transit procedure continues from [Step 4] of the T-TRA-CFL-M-001-Standard Transit Procedure (overview)".  **Page 78:**    **Page 81:**     1. **Page 306: 🡺 IM531607 / PM24518 / KE22512 / JIRA:UCCNCTS-2711**   In section **III.IV.2.2 T-ENR-REC-Handle Recovery (REC)** it is stated that  *However, the ‘Recovery Communication’ C\_REC\_COM (IE063) message shall not be sent to the country that has received the positive ‘Recovery Acceptance Notification’ C\_REC\_ACC (IE151) message. If the Office of Transit and/or the Office of Exit for Transit and/or the Office of Destination belong to the same country, the ‘Recovery Communication’ C\_REC\_COM (IE063) shall be sent only once.*  Similarly, *the ‘Recovery Communication’ C\_REC\_COM (IE063) message shall also not be sent to the country that has* ***sent*** *the positive ‘Recovery Acceptance Notification’ C\_REC\_ACC (IE151) message.* [Justification: by sending a positive IE151, the state in the country that sent it becomes ‘Under Recovery Procedure’ and the reception of an IE063 is such a state is not expected.]     1. **Page 109: 🡺 IM537262 / PM24632 / KE22609 / JIRA:UCCNCTS-2783**   Extract from Figure 34: T-TRA-TRT-A-004-Control by Office of Transit with passage not confirmed    and the figure 34 shall be modified as follows:         1. Page 328: 🡺 **IM542987 / PM25012 / KE22944 / JIRA:UCCNCTS-2891**   The **typo in** **C0815** (as reported by NA-LU) identified in :   * DDNTA\_APP\_Q2-5.15.0-v1.00-SfA.pdf, and * DDNTA\_APP\_Q2 R\_C-5.15.0-v1.00-SfA.xlsx, * Latest TRP the file “/rules/Rules.drl”   will be fixed as follows:  **Technical Description:**  IF ~~in~~ at least one occurrence of /\*/Guarantee/guaranteeType is in SET {2, 9}  THEN /\*/Consignment = "R"  ELSE /\*/Consignment = "N"  **Functional Description:**  IF at least one occurrence of <GUARANTEE.Guarantee type> is in SET {2, 9}  THEN <CONSIGNMENT> = "R"  ELSE <CONSIGNMENT> = "N"   1. **Page 49: 🡺 IM543038 / PM24798 / KE22747 / JIRA:UCCNCTS-2898**   As explained in section **III.II.6.2 T-TRA-EXC-A-007-Status request/response** (page 172), the IE094 can also be sent to (and IE095 received from) the Office of Transit and Office of Exit for Transit.  ***[Step 10]*** *The Office of Departure sends the ‘Status Request’ C\_STD\_REQ (IE094) message to the Office of Destination in the depicted case [****the Office of Departure may also need to get informed about the current status of the movement at the Office of Transit or at the Office of Exit for Transit (those involved into the transit movement) and thus ‘manually’ triggers the sending of the ‘Status Request’ C\_STD\_REQ (IE094) message to any of these Offices****].*  Consequently, the **Figure 6** (***Overview of Information Exchange and roles regarding the NCTS-P5 Core Business***) is corrected by adding the exchange of IE094 and IE095 as illustrated below:    Extract from Figure 6 (corrected).   1. The **guideline G0821** is correctly defined in DDNTA-5.15.0-v1.00 Appendix Q2, but **a typo was identified by NA-BE in Appendix Q2\_R&C**. The inconsistency shall be fixed as follows:   **🡺 IM519968 / PM25010 / KE22943 / JIRA: UCCNCTS-2454**  Appendix Q2 [= G0821 is correct]  **Functional Description:** The last character of the D.I. <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> shall be the value 'E' (where ‘E’ indicates “Common risk analysis result to be communicated to the Office(s) of Exit for Transit and/or the Office(s) of Transit and/or the Office of Destination (NCTS)”).  Appendix Q2\_R&C [= G0821 is incorrect]  **Functional Description:** ~~The last character of the D.I. <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> must be one of the following values: 'D', 'E', 'Z'.~~ **The last character of the D.I. <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> shall be the value 'E' (where ‘E’ indicates “Common risk analysis result to be communicated to the Office(s) of Exit for Transit and/or the Office(s) of Transit and/or the Office of Destination (NCTS)”).**   1. The TRT **E1301** in DDNTA-5.15.0-v1.00 Appendix Q2 and **in Appendix Q2\_R&C** include a typo (space in path) that shall be fixed as follows:   **🡺 No ticket in SYNERGIA - JIRA: UCCNCTS-2458**  Appendix Q2 [= incorrect] Appendix Q2\_R&C [= incorrect]  **Technical Description:** IF <Decisive Date> is LESS than or EQUAL to <TPendDate>  THEN  /\*/Consignment/PreviousDocument AND  /\*/Consignment/SupportingDocument AND  /\*/Consignment/TransportDocument AND  /\*/Consignment/AdditionalReference AND  /\*/Consignment/AdditionalInformation AND  /\*/Consignment/HouseConsignment/countryOfDispatch AND  /\*/Consignment/HouseConsignment/referenceNumberUCR AND  /\*/Consignment/HouseConsignment/Consignor AND  /\*/Consignment/HouseConsignment/Consignee AND  /\*/Consignment/HouseConsignment/DepartureTransportMeans AND  ~~/\*/Consignment/ HouseConsignment/PreviousDocument AND~~  /\*/Consignment/HouseConsignment/PreviousDocument AND  /\*/Consignment/HouseConsignment/SupportingDocument AND  /\*/Consignment/HouseConsignment/TransportDocument AND  /\*/Consignment/HouseConsignment/AdditionalReference AND  /\*/Consignment/HouseConsignment/AdditionalInformation AND  /\*/Consignment/HouseConsignment/TransportCharges AND  /\*/GuaranteeReference/Guarantor/AgentInCountryOfCompetentAuthority  shall not be used  **IMPACT ASSESSMENT:**  This RFC-Proposal concerns only documentary changes, thus there is no impact on business continuity.    **Proposed** date of applicability in Operations (**T-Ops**):   Flexible - No impact on Operations – Documentary fix.  **Proposed** date of applicability in CT (**T-CT**):                     At latest 15.03.23 – No impact on Conformance Testing.  **Expected** date of approval by ECCG (**T-CAB**):                  13.01.2023.    **Risk in case of non-implementation:**         Not applicable.  **Impacted CI Artefacts:**   * **DDNTA-5.15.0-v1.00 (Main Document):  Yes.** * **DDNTA-5.15.0-v1.00 (Appendix ‘Q2’, ‘**Q2\_R&C’, **‘N’): Yes.** * **CSE-v51.8.0: Yes.** * Functional Specifications NCTS-P5 (FSS/BPM): 5.30.2: No. * DDCOM-20.4.0-v1.00: No. * **DMP Package-v5.7.0-v1.00:  Yes.** * CTS-5.7.1-v1.00: No. * ACS Main Document: v5.8.0-v1.00 & ACS Annex for NCTS: 5.8.0-v1.00: No. * CTP-5.10.0-v1.00: No. * **TRP-5.11.1:  Yes.** * CRP-5.7.4-v1.00: No. * ieCA 1.0.4.1: No. * AES-P1 and NCTS-P5 Long-Lived “Legacy” (L3) Movements Study v1.50-v1.00: No. * CS/MIS2\_DATA: No. * CS/RD2\_DATA: No. * UCC IA/DA Annex B: No.   **NCTS-P6:** Minor impact [Some corrections to be applied also in DDNTA-6.2.0-v1.00] |

**Impact on CI artefacts**

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| **DDNTA-v5.15.0 (Main Document)** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | Various minor corrections as per section 3. | |
| **DDNTA-v5.15.0 (Appendices)** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | Various minor corrections as per section 3 – Mainly generated from SpecsManager. | |
| **TRP-5.11.1** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | Typo in DROOLS. No impact on the code. | |
| **DMP-5.7.0-v1.00** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | Typo in DMP, as per section 3. No impact on the XSLT. | |
| **DMP-5.7.0-v1.00** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | Typo in DMP, as per section 3. No impact on the XSLT. | |

**Estimated impact on National Project**

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| Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | No impact.  (Only an update of the Documentation) | |

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| **Document History** | | | |
| **Version** | **Status** | **Date** | ***Comment*** |
| v0.10 | Draft by SOFTDEV | 24/11/2022 | *Draft by SOFTDEV* |
| v0.20 | Draft by SOFTDEV | 07/12/2022 | *Implemented format comments by DG TAXUD* |
| v1.00 | SfA to NPMs | 08/01/2023 | *Various corrections -* ***Documentary changes*** *to improve comfort of reading, eliminating minor incoherencies or typos.* |
| ***Considered as accepted following absence of comments from NPMs by 21.01.2023.  No change applied compared to v1.00.*** | | | |